

12/17/01
1042 U.S. PTO

12-21-01

A

Patent
Attorney Docket: 268/256

10/023587
12/17/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 268/256
First Named Inventor: Anthony R. Perez, et al.
Prior Application Information:
Serial No. 09/634,689
Examiner: M. Hayes
Art Unit: 3763

Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

FILING UNDER 37 CFR § 1.53(b)

This is a request for filing for a

☒ continuation ☐ divisional ☐ continuation-in-part (CIP)

application under 37 CFR § 1.53(b) of pending prior application Serial No. 09/634,689 filed on August 8, 2000, which is a continuation of prior application Serial No. 08//942,938 filed on October 21, 1997, which issued as U.S. Patent No. 6,159,184, which is a continuation-in-part of application Serial No. 08/814,199, filed on March 10, 1997, which issued as U.S. Patent No. 6,171,283, by

Anthony R. Perez, et al., entitled:

DISPOSABLE SELF-SHIELDING UNIT DOSE SYRINGE GUARD

For CONTINUATION or DIVISION APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied, referenced above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

I. APPLICATION ELEMENTS ENCLOSED

57 Page(s) of Written Description
22 Page(s) of Claims
2 Page(s) of Abstract
30 Sheet(s) of Drawings ☒ formal ☒ informal
6 Page(s) of ☒ Declaration or ☐ Declaration and Power of Attorney
☒ Copy from prior application [37 CFR §1.63(d)]

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL 724001336 US
Express Mail Label No.

December 17, 2001
Date of Deposit

Sally Hartwell
Name of Person Mailing Paper

Sally Hartwell
Signature of Person Mailing Paper

- ☐ Newly executed
- 2 Other: Small Entity Statement - Small Business
- 2 Other: Associate Power of Attorney

- ☒ Assignment papers (cover sheet and documents(s))
- ☒ An Information Disclosure Statement, PTO 1449.
- ☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35

II. FEE CALCULATION

- ☒ Applicant claims small entity status under 37 CFR 1.27.

BASIC FILING FEE:							\$740.00
Total Claims	20	-	20	=	0	x \$18.00	\$0.00
Independent Claims	4	-	3	=	1	x \$84.00	\$84.00
Multiple Dependent Claims	\$280	(if applicable)				<input type="checkbox"/>	\$0.00
TOTAL OF ABOVE CALCULATIONS							\$824.00
Reduction by ½ for Filing by Small Entity.						<input checked="" type="checkbox"/>	\$412.00
Misc. Filing Fees (Recordation of Assignment)							\$0.00
TOTAL FEES DUE HERewith							\$412.00

III. PRIORITY - 35 USC § 119

- ☐ Priority of application Serial No. _____ filed on _____ in Country is claimed under 35 USC § 119.
- ☐ The certified copy has been filed in prior U.S. application Serial No. _____ on _____.
- ☐ The certified copy will follow.

IV. AMENDMENTS

- ☐ Cancel in this application original Claims _____ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes if no new claims are added in a preliminary amendment.)
- ☒ A Preliminary Amendment is enclosed. (Claims added by Amendment must be numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

V. RELATE BACK - 35 USC § 120

- ☒ Relate back information included in preliminary amendment or specification.
- ☐ Please amend the specification as follows:
[Enter continuing data here]
- ☒ With respect to the prior co-pending U.S. application from which this application claims benefit under 35 USC § 120, the inventor(s) in this application is (are) [37 CFR 1.53(b)(1)]:
- ☒ the same.
- ☐ less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted [see 37 CFR §§1.33(b) AND 1.63(d)(2)]:
[Name(s) of inventor(s) to be deleted]

VI. METHOD OF PAYMENT OF FEES

- ☒ Attached is a check in the amount of \$412.00.
- ☐ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of _____.
- ☐ Not attached. No filing fee is submitted. [This and the surcharge required by 37 CFR § 1.16(e) can be paid subsequently.]

VII. AUTHORIZATION TO CHARGE ADDITIONAL FEES

The Commissioner is hereby authorized to credit Lyon & Lyon's Deposit Account No. **12-2475** for any over payment of fees and to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. **12-2475**:

- ☐ 37 CFR § 1.16 (Filing fees and excess claims fees)
- ☐ 37 CFR § 1.17 (Application processing fees)
- ☐ 37 CFR § 1.21 (Assignment recordation fees)

VIII. POWER OF ATTORNEY & CORRESPONDENCE ADDRESS

- ☒ The power appears in the original papers in the prior application.
- ☐ The power does not appear in the original papers, but was filed on _____ in prior application Serial No. _____.
- ☐ A new power has been executed and is attached.

Please send all correspondence to Customer Number 22249:



LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071
(213) 489-1600

Please direct all inquiries to **William A. English**, at the above Customer Number.

IX. MAINTENANCE OF CO-PENDENCY OF PRIOR APPLICATION

- ☐ A petition, fee and response has been filed to extend the term in the pending **prior** application until _____. A copy of the petition for extension of time in the **prior** application is attached.
- ☐ A conditional petition for extension of time is being filed in the pending **prior** application. A copy of the conditional petition for extension of time in the **prior** application is attached.

X. ABANDONMENT OF PRIOR APPLICATION

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application co-pending with said prior application. At the same time, please add the words "now abandoned" to the amendment of the specification set forth in Item V above.

Respectfully submitted,

LYON & LYON LLP

Dated: December 17, 2001

By: 

William A. English
Reg. No. 42,515

Enclosures

Safety Syringes, Inc.
Name of Assignee

250 West Colorado Blvd., Suite 101, Arcadia, California 91007
Address of Assignee

Anthony Perez, President

Title of person authorized to sign on behalf of assignee

Assignment recorded in PTO on October 2, 1997, Reel 8844, Frame 0679

Assignment recorded in PTO on March 20, 1998, Reel 9087, Frame 0948

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Anthony R. Perez, et al.

Serial No.: Not yet assigned

Filed: Herewith

For: DISPOSABLE SELF-SHIELDING UNIT
DOSE SYRINGE GUARD

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

TRANSMITTAL OF FORMAL DRAWINGS

Attention: Official Draftsperson
Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in the above-referenced application are the formal drawings (thirty sheets).

Respectfully submitted,

LYON & LYON LLP

Dated: December 17, 2001

By: 

William A. English
Reg. No. 42,515

633 West Fifth Street, Suite 4700
Los Angeles, California 90071-2066
(949) 567-2300

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL724001336 US
Express Mail Label No.

December 17, 2001
Date of Deposit

Sally Hartwell

Name of Person Mailing Paper


Signature of Person Mailing Paper

10023587-12101
TOT2T-7B5E200T